



seaf
Dkt. 0980/65686

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Zuhua ZHU, et al.

Serial No. : 09/927,802

Group Art Unit: 2881

Date Filed : August 10, 2001

Examiner:

For : OPTICAL COMMUNICATIONS SYSTEM AND
VERTICAL CAVITY SURFACE EMITTING LASER THEREFOR

1185 Avenue of the Americas
New York, N.Y. 10036

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION-FILING DATE GRANTED
AND TRANSMISSION OF ASSIGNMENT FOR RECORDATION

In response to the Notice to File Missing Parts of Application-Filing Date Granted dated September 14, 2001, applicants hereby transmit (i) copy of the PTO Notice, (ii) Declaration and Power of Attorney, and (iii) check for \$65 surcharge for late filing of the Declaration.

Applicants also transmit for recordation (iv) an Assignment of this invention to Gazillion Bits, Inc., (v) Recordation Form Cover Sheet (Form PTO-1595), and (vi) check for the \$40 recordation fee.

I hereby certify that this paper is being deposited this date with the
U.S. Postal Service as first class mail addressed to the Assistant
Commissioner for Patents, Washington, D.C. 20231.

Ivan S. Kavrukov

Nov 13, 2001

Ivan S. Kavrukov
Reg. No. 25,161

Date

The Commissioner is authorized to charge any additional fees, or to credit any overpayment, to our Deposit Account No. 03-3125.

If a petition for an extension of time is required to make this response timely, this paper should be considered to be such a petition, and the Commissioner is authorized to charge the requisite fees to our Deposit Account No. 03-3125.

Respectfully submitted,



Ivan S. Kavrukov, Reg. No. 25,161
Attorney for Applicants
Cooper & Dunham LLP
Tel.: (212) 278-0400



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,802	08/10/2001	Zuhua Zhu	0980/65686

CONFIRMATION NO. 6317

FORMALITIES LETTER



OC000000006556608

 Ivan S. Kavrukov
 Cooper & Dunham LLP
 1185 Avenue of the Americas
 New York, NY 10036

Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

01/04/2002 KZEMDIE 00000023 09927802

01 FC:205

65.00 0P